

# SEMESTER 4

## Paper XIII Political Institutions and Processes in Comparative Perspective

*(Note: There will be a compulsory question from topic one with internal choices )*

**Course Objective:** In this course students will be trained in the application of comparative methods to the study of politics. The course is comparative in both *what* we study and *how* we study. In the process the course aims to introduce undergraduate students to some of the range of issues, literature, and methods that cover comparative politics.

### **I. Approaches to Studying Comparative Politics (11 Lectures)**

Traditional Institutional, Political Systems, Political Culture and New Institutionalism

### **II. Electoral System (08 Lectures)**

Definition and procedures: Types of electoral systems (First Past the Post, Proportional Representation, Mixed Representation)

### **III. Party System (08 Lectures)**

Historical contexts of emergence of the party system and types of parties

### **IV. Nation-state (12 Lectures)**

What is a nation-state?

Historical evolution in Western Europe and postcolonial contexts

'Nation' and 'State': debates

### **V. Democratization (10 Lectures)**

Process of democratization in postcolonial, post-authoritarian, and post communist countries

### **VI. Federalism (11 Lectures)**

Historical contest

Federation and Confederation: debates around territorial division of power.

## **Essential Readings**

### **I: Approaches to Studying Comparative Politics**

Almond, G. A. (ed.) (2000) 'Comparing Political Systems', in Gabriel A. et al. (eds.) *Comparative Politics Today: A World View*. New York: Longman, pp. 39-46.

Pennington, M. (2009) 'Theory, Institutions and Comparative Politics', in Bara, J. and Pennington, M. (eds.) *Comparative Politics: Explaining Democratic System*. Sage, New Delhi, pp 13-40.

Hall, P. And Taylor, Rosemary C. R. (1996) 'Political Science and the Three New Institutionalisms', *Political Studies*. XLIV, pp. 936-957.

Rosamond, B. (2005) 'Political Culture', in Axford, B. et al. (eds.) *Politics*, London: Routledge, pp. 57-81.

- Howard, M. (2009) 'Culture in Comparative Political Analysis', in Lichback, M. I. and Zuckerman, A. S. (eds.) *Comparative Politics: Rationality, Culture, and Structure*. Cambridge: Cambridge University Press, pp. 134-161.
- Fisher, J. R. (2011) 'Systems Theory and Structural Functionalism', in Ishiyama, J. T. and Breuning, M. (eds.) *21<sup>st</sup> Century Political Science: A Reference Handbook*. Los Angeles: Sage, pp. 71-80.
- Rakner, L. and Vicky, R. (2011) 'Institutional Perspectives', in Burnell, P. et.al. (eds.) *Politics in the Developing World*. Oxford: Oxford University Press, pp. 53-70.

## **II: Electoral System**

- Moser, R. G. and Ethan, S. (2004) 'Mixed Electoral Systems and Electoral System Effects: Controlled Comparison and Cross-national Analysis', *Electoral Studies*. 23, pp. 575-599.
- Heywood, A. (2002) 'Representation, Elections and Voting', in *Politics*. New York: Palgrave, pp. 223-245.
- Evans, Jocelyn A.J. (2009) 'Electoral Systems', in Bara, J. and Pennington, M. (eds.) *Comparative Politics*. New Delhi: Sage, pp. 93-119.

## **III: Party System**

- Cole, A. (2011) 'Comparative Political Parties: Systems and Organizations', in Ishiyama, John T. and Breuning, M. (eds.) *21<sup>st</sup> Century Political Science: A Reference Book*. Los Angeles: Sage, pp. 150-158.
- Criddle, B. (2003) 'Parties and Party System', in Axtmann, R. (ed.) *Understanding Democratic Politics: An Introduction*. London: Sage, pp. 134-142.
- Heywood, A. (2002) 'Parties and Party System' in *Politics*. New York: Palgrave, pp. 247-268.
- Boix, Ch. and Stokes, S. (eds.) (2007) *Handbook of Comparative Politics*, Oxford: Oxford University Press, pp. 499-521; 522-554

## **IV: Nation-state**

- O'Conner, W. (1994) 'A Nation is a Nation, is a State, is a Ethnic Group, is a...', in Hutchinson, J. and Smith, A. (eds.) *Nationalism*. Oxford: Oxford University Press, pp. 36-46.
- McLennan, G. Held, D. and Hall, S. (1984) *The Idea of Modern State*. Philadelphia: Open University Press, ch. 1.
- Heywood, A. (2002), 'The State', in *Politics*. New York: Palgrave, pp. 85-102
- Newton, K. and Deth, Jan W. V. (2010) 'The Development of the Modern State', in *Foundations of Comparative Politics: Democracies of the Modern World*. Cambridge: Cambridge University Press, pp. 13-33.
- Sorensen, G. (2008) 'Globalization and Nation-State', in Caramani, D. (ed.) *Comparative Politics*. Oxford: Oxford University Press, pp. 602-624.

## **V: Democratization**

- Landman, T. (2003) 'Transition to Democracy', in *Issues and Methods of Comparative Methods: An Introduction*. London: Routledge, pp. 185-215.
- Newton, K. and Deth, Jan W. V. (2010) 'Democratic Change and Persistence', in *Foundations of Comparative Politics: Democracies of the Modern World*. Cambridge: Cambridge University Press, pp. 53-67.
- Haynes, J. (1999) 'State and Society', in *The Third World Politics*. Oxford: Blackwell, pp. 20-38; 39-63.
- Smith, B.C. (2003) 'Democratization in the Third World', in *Understanding Third World Politics: Theories of Political Change and Development*. London: Palgrave Macmillan, pp. 250-274.

## **VI: Federalism**

- Burgess, M. (2006) *Comparative Federalism: Theory and Practice*. London: Routledge, pp. 9-49; 135-161.
- Saxena, R. (2011) 'Introduction', in Saxena, R (eds.) *Varieties of Federal Governance: Major Contemporary Models*. New Delhi: Cambridge University Press, pp. xii-xl.
- Watts, R. L. (2008) 'Introduction', in *Comparing Federal Systems*. Montreal and Kingston: McGill Queen's University Press, pp. 1-27; 29-62.

## **Additional Readings**

- Newton, K. and Deth, Jan W. V. (2010) *Foundations of Comparative Politics: Democracies of the Modern World*. Cambridge: Cambridge University Press.
- Kamrava, M. (2003) *Understanding Comparative Politics: A Frame Work of Analysis*. London: Routledge.
- Ishiyama, J. T. and Breuning, M. (eds.) (2011) *21<sup>st</sup> Century Political Science: A Reference Handbook*. Los Angeles: Sage.
- Gallagher, M. and Mitchell, P. (eds.) (2005) *The Politics of Electoral System*. Oxford: Oxford University Press.
- Caramani, D. (ed.) (2008) *Comparative Politics*. Oxford: Oxford University Press, pp. 85-107; 263-289; 293-317; 318-347.
- Burnell, P. et. al. (eds.) (2008) *Politics in the Developing World*. New Delhi: Oxford, pp. 223-240; 257-276.
- Hague, R. and Harrop, M. (2004) *Comparative Government and Politics: An Introduction*. London: Palgrave, pp. 17-34.
- Bara, J. and Pennington, M. (eds.) (2009) *Comparative Politics*. New Delhi: Sage, pp. 69-92; 201-226.
- Stepan, A. L., Juan J. and Yadav, Y. (2011) *Crafting State-Nations: India and other Multinational Democracies*. Baltimore: John Hopkins University Press, pp. 1-38.
- Evans, P. et. al. (eds.) (1985) *Bringing the State Back In*. Cambridge: Cambridge University Press, Cambridge, pp. 169-187.

Axford, Barrie. et al. (eds.) (2005) *Politics*. London: Routledge, pp. 204-220.

Wiarda, H. J. et al. (eds.) (2005) *Comparative Politics: Critical Concepts in Political Science*. London: Routledge, pp. 150-169; 440-456.

## **Paper XIV Public Policy and Administration in India**

**Course Objective:** The course seeks to provide an introduction to the interface between public policy and administration in India. It emphasizes issues of democracy, social welfare and financial accountability from a non-western perspective.

### **I. Public policy (12 Lectures)**

- (a) Concept, theories and relevance
- (b) Formulation, implementation and evaluation

### **II. Decentralization (12 Lectures)**

- (a) Meaning, approaches and perspectives
- (b) Administrative, functional and fiscal decentralization

### **III. Public finance (12 Lectures)**

- (a) Budget
- (b) Budgeting innovations
- (c) Macro-economic adjustment.

### **IV. Citizens and Administration (12 Lectures)**

- (a) Bringing people closer to Administration: E-governance
- (b) Meaning and forms of public accountability and redressal of public grievances: RTI, Lokpal

### **V. Policies of Social Welfare (12 Lectures)**

- (a) Education: Sarv Siksha Abhiyan
- (b) Health: NRHM
- (c) Employment: MNREGA

### **Essential Readings**

#### **I. Public policy**

Dye, T.R. (1984) *Understanding Public Policy*. 5<sup>th</sup> Edition. U.S.A: Prentice Hall, pp. 1-44.

Sapru, R.K.(1996) *Public Policy: Formulation, Implementation and Evaluation*. New Delhi: Sterling Publishers, pp. 1-16.

Dror, Y. (1989) *Public Policy Making Reexamined*. Oxford: Transaction Publication, pp.3-24,73-128 and 129-216.

Wildavsky, A.(2004) 'Rescuing Policy Analysis from PPBS', in Shafritz, J.M. & Hyde, A.C. (eds.) *Classics of Public Administration*. 5th Edition. Belmont: Wadsworth, pp.271-284.

#### **II. Decentralization**

- (a) Meaning, approaches and perspectives**

Singh, S. and Sharma, P. (eds.) (2007) 'Introduction', in *Decentralization: Institutions and Politics in Rural India*. New Delhi: Oxford University Press, pp 1-36.

Manor, J. (1999) 'Defining Terms', in *The Political Economy of Democratic Decentralization*. Washington D.C: The World Bank, pp. 15-23.

### **(b) Administration, Functional and Fiscal decentralization**

Jayal, N.G.; Prakash, A. and Sharma, P. (2006) *Local Governance in India: Decentralisation and Beyond*. New Delhi: Oxford University Press, pp. 1-26.

## **III. Public finance**

Erik-Lane, J. (2005) *Public Administration and Public Management: The Principal Agent Perspective*. New York: Routledge, pp.1-28 and 48-76.

Denhardt, R.B.and Denhardt, J.V.(2009) *Public Administration*. New Delhi: Brooks/Cole, pp. 194-230.

Henry, N.(1999) *Public Administration and Public Affairs*. New Jersey:Prentice Hall, pp 242-280.

Caiden, N.(2004) ' Public Budgeting Amidst Uncertainty and Instability', in Shafritz, J.M. & Hyde, A.C. (eds.) *Classics of Public Administration*. Belmont: Wadsworth, pp.423-433.

## **IV. Citizens and Administration**

### **Readings:**

#### **(a) Bringing people closer to Administration: E-governance**

Singh, A .and Prakash, G. (2008) 'A New Public Management Perspective In Indian E-Governance Initiatives', in *Critical Thinking In E-Governance*. Delhi: International Congress of E-Governance.

#### **(b) Meaning and forms of public accountability and redressal of public grievances: RTI, Lokpal**

Mukhopadyay, A. (2005) 'Social Audit', in *Seminar*. No.551.

Jenkins, R. and Goetz, A.M. (1999) 'Accounts and Accountability: Theoretical Implications of the Right to Information Movement in India', in *Third World Quarterly*. June, pp. 603-622.

Sharma, P.K. & Devasher, M. (2007) 'Right to Information in India' in Singh, S. and Sharma, P. (eds.) *Decentralization: Institutions and Politics in Rural India*. New Delhi: Oxford University Press, pp. 348-382.

## **V. Policies of Social Welfare**

**(a) Education: Sarv Siksha Abhiyan**

Sinha, A. *Is It Really Possible?* Available at

[www.india-seminar.com/2004/536/amarjeetsinha.htm](http://www.india-seminar.com/2004/536/amarjeetsinha.htm)

Dhir, J. *Beyond Resources.*

Available at [www.india-seminar.com/2004/536/dhir\\_jhingram.htm](http://www.india-seminar.com/2004/536/dhir_jhingram.htm)

**(b) Health: NRHM**

[National Rural Health Mission \(India\)](#).(2007) *Reviewing two years of NRHM: Citizens*

*Report*, October, [New Delhi](#). Available at

[www.chsj.org/modules/download\\_gallery/dlc.php?file=442](http://www.chsj.org/modules/download_gallery/dlc.php?file=442)

**(c) Employment: MNREGA**

Kumar, A. Suna, B. and Pratap, S. *Responding to People's Protests about Poverty:*

*Making and Implementation of the National Rural Employment Guarantee Act (NREGA) in India.* Available at [www.chronicpoverty.org](http://www.chronicpoverty.org).

## **Paper XV Global Politics**

**Course Objective:** This course introduces students to the key debates on the meaning and nature of globalization. It addresses political, economic, social, cultural and technological dimensions of globalization. The course also seeks to impart an understanding of the key contemporary global issues such as the proliferation of nuclear weapons, ecological issues, international terrorism, and issues pertaining to poverty, development and human security.

### **I. Globalisation: Conceptions and Perspectives (25 Lectures)**

- (a) Political, Cultural and Technological Dimensions
- (b) Global Economy: Its Significance and Anchors
- (c) Global Social Networks / Global Resistances

### **II. Contemporary Global Issues (35 Lectures)**

- (a) Ecological Issues: historical overview of international environmental agreements, climate change, global commons debate.
- (b) Proliferation of Nuclear Weapons
- (c) International Terrorism: non-state actors and state terrorism; war on terror.
- (d) Poverty, Development and Human Security

### **Essential Readings**

#### **I. Globalization: Conceptions and Perspectives**

##### **(a) Political, Cultural and Technological Dimensions**

Held, D. and McGrew, A. (eds.) (2002) *Global Transformations Reader: Politics, Economics and Culture*. 2nd edn. Stanford: Stanford University Press.

##### **(b) Global Economy: Its Significance and Anchors**

##### **Global Economy: Its Significance**

Woods, N. (2005) 'International Political Economy in an Age of Globalization', in Baylis, J. and Smith, S. (eds.) *The Globalization of World Politics*. 3rd edn. New Delhi: Oxford University Press, pp. 326-332.

Nicholson, M. (2002) *International Relations: A Concise Introduction*. New York: Palgrave, pp. 18-24.

Ravenhill, J. (ed.) (2008) *Global Political Economy*. 2nd edn. New York: Oxford University Press, pp. 18-24.

##### **Global Economy: Anchors**



- I. Transnational Companies (TNCs)
- II. International Monetary Fund (IMF)
- III. World Bank
- IV. World Trade Organisation (WTO)

- Willetts, P. (2005) 'Transnational Actors and the International Organizations in Global Politics', in Baylis, J. and Smith, S. (eds.) (2008) *The Globalization of World Politics: An Introduction to International Relations*. 4th edn. Oxford: Oxford University Press, pp. 425-447.
- Goddard, C.R. (2003) 'The IMF', in Goddard, C.R., Cronin, P. and Dash, K.C. (eds.) *International Political Economy: State-Market Relations in a Changing Global Order*. 2<sup>nd</sup> edn. Boulder: Lynne Rienner.
- Picciotto, R. (2003) 'A New World bank for a New Century', in Goddard, C.R., Cronin, P. and Dash, K.C. (eds.) *International Political Economy: State-Market Relations in a Changing Global Order*. 2<sup>nd</sup> edn. Boulder: Lynne Rienner.
- Clegg, L.J. (1996) 'The Development of Multinational Enterprises', in Daniels, P.W. and Lever, W.F. (eds.) *The Global Economy in Transition*. London: Longman, pp. 103-34.
- Viotti, P.R. and Kauppi, M.V. (2007) *International Relations and World Politics: Security, Economy, Identity*. 3rd edn. New Delhi: Pearson Education, pp.344-346 and 389-390.
- Narlikar, A. (2005) *The World Trade Organisation: A Very Short Introduction*. Oxford: Oxford University Press, pp. 22-98.
- Winham, G.R. (2008) 'The Evolution of the Trade Regime', in Ravenhill, J. (ed.) *Global Political Economy*. Oxford: Oxford University Press, pp. 152-171.

### **(c) Global Social Networks/ Global Resistances**

- Nicholson, M. (2002) *International Relations: A Concise Introduction*. New York: Palgrave, pp. 185-204.
- Viotti, P.R. and Kauppi, M.V. (2007) *International Relations and World Politics: Security, Economy, Identity*. 3rd edn. New Delhi: Pearson Education, pp. 430-450.
- Goldstein, J.S. (2003) *International Relations*. New Delhi: Pearson Education, pp. 351 354 and 366-375.
- Gordon, L. and Halperin, S. (2003) 'Effective Resistance to Corporate Globalisation', in Gordon, L. and Halperin, S. (eds.) *Global Civil Society and its Limits*. New York: Palgrave Macmillan, pp. 1-24.
- O'Brien, R. Goetz, A.M. Scholte, J.C. and Williams, M. (2000) *Contesting Global Governance*. Cambridge: Cambridge University Press, pp. 1-23.
- Thomas, C. (2008) 'Globalisation and Development in the South', in Ravenhill, J. (ed.) *Global Political Economy*. Oxford: Oxford University Press, pp. 410-447.

## **II. Contemporary Global Issues**

### **(a) Ecological Issues**

Greene, O. (2005) 'Environmental Issues', in Baylis, J. and Smith, S. (eds.) (2008) *The Globalization of World Politics: An Introduction to International Relations*. 4th edn. Oxford: Oxford University Press, pp. 451-478.

Nicholson, M. (2002) *International Relations: A Concise Introduction*. New York: Palgrave, pp. 175-181.

Viotti, P.R. and Kauppi, M.V. (2007) *International Relations and World Politics: Security, Economy, Identity*. 3rd edn. New Delhi: Pearson Education, pp. 430-450.

### **(b) Proliferation of Nuclear Weapons**

Howlett, D. (2005) 'Nuclear Proliferation', in Baylis, J. and Smith, S. (eds.) (2008) *The Globalization of World Politics: An Introduction to International Relations*. 4th edn. Oxford: Oxford University Press, pp. 499-519.

Viotti, P.R. and Kauppi, M.V. (2007) *International Relations and World Politics: Security, Economy, Identity*. 3rd edn. New Delhi: Pearson Education, pp.238-250 and 259-263.

### **(c) International Terrorism**

Viotti, P.R. and Kauppi, M.V. (2007) *International Relations and World politics: Security, Economy, Identity*. 3rd edn. New Delhi: Pearson Education, pp. 275-290.

Vanaik, A. (2007) 'Political Terrorism and the US Imperial Project', in *Masks of Empire*. New Delhi: Tulika Books, pp. 103-128.

Halliday, F. (2004) 'Terrorism in Historical Perspective', *Open Democracy*, 22 April. [Online] DOI: [http://www.opendemocracy.net/conflict/article\\_1865.jsp](http://www.opendemocracy.net/conflict/article_1865.jsp) (Accessed: 13 October 2011).

### **(d) Poverty, Development and Human Security**

Thomas, C. (2005) 'Poverty, Development, and Hunger', in Baylis, J. and Smith, S. (eds.) *The Globalization of World Politics*. 3rd edn. New Delhi: Oxford University Press, pp. 645-668.

Nicholson, M. (2002) *International Relations: A Concise Introduction*. New York: Palgrave.

Viotti, P.R. and Kauppi, M.V. (2007) *International Relations and World Politics: Security, Economy, Identity*. 3rd edn. New Delhi: Pearson Education, pp. 349-350.

Shahrbanou, T. and Chenoy, A. (2007) *Human Security*. London: Routledge, pp. 13-19, 122- 127, and 237-243.

Wade, R.H. (2008) 'Globalisation, Growth, Poverty, Inequality, Resentment, and Imperialism', in Ravenhill, J. (ed.) *Global Political Economy*, Oxford: Oxford University Press, pp. 373-409.

### **Additional Readings**

Brown, C. (2001) *Understanding International Relations*. 2nd edn. Basingstoke: Palgrave.

Woods, N. (1996) *Explaining International Relations since 1945*. Oxford: Oxford University Press.

Castells, M. (2000) *The Rise of the Network Society*. Oxford: Blackwell.

Porter, G. and Brown, J.W. (2002) *Global Environmental Politics*. 3rd edn. Boulder: Westview.

Buzan, B. and Herring, E. (1998) *The Arms Dynamic in World Politics*. London: Lynne Rienner.

Adams, N.B. (1993) *World Apart: The North-South Divide and the International System*. London: Zed.

## **PAPER XVI**

# **CONCURRENT – DISCIPLINE CENTERED I**